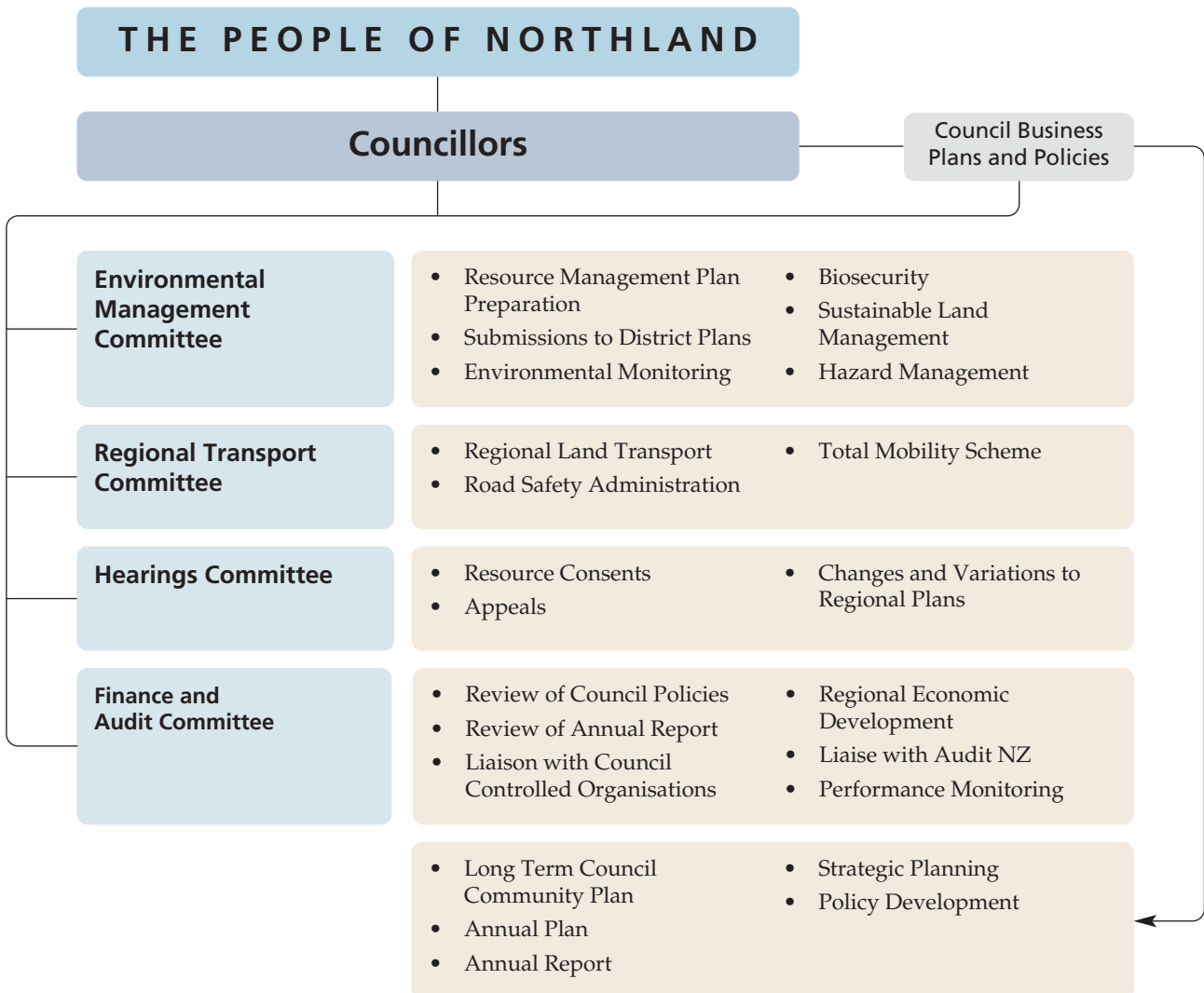


# governance structure



Full details of all Council's Governance arrangements and processes are contained in its Governance Statement. A copy of Northland Regional Council's Governance Statement is available on request, or by visiting the website: [www.nrc.govt.nz](http://www.nrc.govt.nz)

# council management structure



\* Activity Support Services

# your regional councillors



**Mark Farnsworth – Chairman**

*Represents Kaipara District*  
 Phone: 09 431 5438  
 Email: markf@nrc.govt.nz

Mark Farnsworth is the sole Kaipara representative and was elected Council Chairman in 2001. Cr Farnsworth is university trained and self-employed. He is currently the

Zone One representative on the National Council of Local Government New Zealand and a member of the Ministry for the Environment’s Advisory Board for The Making Good Decisions Programme for RMA Decision Makers.



**Ian Walker – Deputy Chairman**

*Represents Far North District*  
 Phone: 09 408 0072  
 Email: ian@nzfarmers.com

Ian Walker is a farmer, horticulturist and businessman. He is currently President of Farmers of New Zealand and a Director of Northland Port Corporation NZ Ltd. This is his third

term as a Regional Councillor and he was appointed Deputy Chairman in June 2008.



**John Bain**

*Represents Whāngārei District*  
 Phone: 09 437 6096  
 Email: jbain@internet.co.nz

John Bain is best-known to most as Chairman of the high-profile air ambulance provider, the Northland Emergency Services Trust (NEST). A former member of the Northland

District Health Board, Cr Bain has had a 35-year involvement with the Northland Ambulance Service, including many years as its chairman. Cr Bain has been self-employed since his early twenties and is currently in the importing business.



**Craig Brown**

*Represents Whāngārei District*  
 Phone: 09 432 7575  
 Email: cae.brown@xtra.co.nz

Craig Brown is the former Mayor of the Whāngārei District Council and is serving his second term on the Regional Council. He is a former policeman, and has been involved in

farming and real estate. He has been heavily involved on school boards of trustees since their instigation, for which he received an award for services to education from the New Zealand School Trustees Association. Cr Brown was on the Whāngārei District Council for 12 years, six of them as Mayor.



**Joe Carr**

*Represents Far North District*  
 Phone: 09 401 9197  
 Email: carr.clan@farmside.co.nz

Okaihau-based Joe Carr has local business, farm and forest interests and is also a Justice of the Peace. He is a trustee of the Far North Life Education Trust and a former Far

North District Councillor. Cr Carr has served on a number of Regional Council committees over the past nine years including Civil Defence and Emergency Management, Regional Land Transport and Landcare. He has previously been a Hearing Commissioner and Appeal Negotiator for the Far North District Council.



**Lorraine Hill**

*Represents Far North District*  
 Phone: 09 403 7504  
 Email: lorrainehill8@xtra.co.nz

Lorraine Hill, QSM, has been active in local government in the Far North for more than 20 years and is now serving her fourth term on the Regional Council. Cr Hill has also

been involved in tourism organisations in Northland for more than 25 years. She is a member of several organisations involved in Māori development in Northland.



**Peter Jensen**

*Represents Whāngārei District*  
 Phone: 09 437 6386  
 Email: peterje@clear.net.nz

Peter Jensen has represented the Whāngārei constituency since 1992. He is a retired businessman who was involved in the clothing industry for most of his working life. A former

member of the Whāngārei City Council, he has served on a number of commercial and community organisations. Cr Jensen is also a member of the Northland District Health Board.



**Bill Rossiter**

*Represents Whāngārei District*  
 Phone: 09 437 2807  
 Email: billr@igrin.co.nz

Bill Rossiter is serving his fourth term on the Regional Council. He previously served as a Whāngārei District Councillor. He is a Northpower trustee and was

previously the Road Safety Co-ordinator for RoadSafe Northland. He is active in community organisations, including Rotary. Cr Rossiter is a retired businessman involved in the printing and stationery business.

# council committees and advisers

The Chairman, Mark Farnsworth, is an ex officio member of all committees.

## Environmental Management Committee

Councillors Craig Brown (Chairman), Joe Carr (Deputy Chairman), Mark Farnsworth (ex officio), John Bain, Lorraine Hill, Peter Jensen and Bill Rossiter.

- Mrs Fiona King, representing the Far North District Council.
- Cr Crichton Christie representing the Whāngārei District Council.
- Cr Brian McEwing representing the Kaipara District Council.
- Mr Gerry Brackenbury representing environmental interest groups (nominated by Northland Regional Council).
- Mr Wayne Ward representing the farming community (nominated by Farmers of New Zealand).
- Mr Chris Jenkins representing the Department of Conservation.
- Mr Andrew Warren – NZ Forest Owners Association representing the forest industry.
- Mr Mike Kake representing cultural interests.

## Regional Transport Committee

Councillors John Bain (Chairman), Joe Carr (Deputy Chairman) and Mark Farnsworth (ex officio).

- Mr David Edmunds representing the Far North District Council.
- Mr Mark Simpson representing the Whāngārei District Council.
- Cr Richard Alspach representing the Kaipara District Council.
- Mr Wayne McDonald representing the NZ Transport Agency.
- Mr Tony Norman representing public health.
- Ms Jo Douglas representing economic development.
- Mr Steve Westgate representing environmental sustainability.
- Mr Mike Kake representing cultural interests.
- Inspector Clifford Paxton representing New Zealand Police, safety and security.
- Mr John Williamson representing access and mobility.

## Hearings Committee

Councillors Lorraine Hill (Chairperson), Mark Farnsworth (ex officio), Peter Jensen, Craig Brown, Joe Carr and Ian Walker.

Any two (or more) of the above Councillors may be appointed by the Committee (or the Council), to conduct hearings and make decisions on behalf of the Council under the Resource Management Act 1991 (RMA). The Committee (or the Council) similarly may appoint any individual member/s or other independent person/s as Commissioner/s pursuant to section 34(1) of the RMA, to

conduct a hearing in any particular case. The Committee (or the Council) may also revoke such a delegation at any time, by notice to the delegate.

## Audit and Finance Committee

Councillors Peter Jensen (Chairman), John Bain (Deputy Chairman) Mark Farnsworth (ex officio), Ian Walker, Craig Brown and Bill Rossiter.

## Northland Regional Council Community Trust

The Northland Regional Council Community Trust was established in March 1996 using the proceeds from the sale of some of the Northland Regional Council's majority shareholding in the Northland Port Corporation.

The current trustees are Andrew Britton, Rawson Wright, Irene Durham and Bill Shepherd.

## Representation – Other Organisations

- Northland Civil Defence Emergency Management Group – Cr Bill Rossiter.
- Northland Regional Animal Health Committee – Cr Mark Farnsworth.
- Zone 1 National Council of Local Government New Zealand – Cr Mark Farnsworth.
- Advisory Board Member MoE, Making Good Decisions Advisory Board – Cr Mark Farnsworth.
- Northland Chamber of Commerce – CR Bill Rossiter.
- Zone 1 Local Government New Zealand – Cr Bill Rossiter.
- Northland Conservation Board – Cr Craig Brown (observer).
- Northland Tourism Development Group – Cr Lorraine Hill.
- Ruakaka Sewerage Working Party – Cr Craig Brown.

## Advisers

**Auditor:** Audit New Zealand on behalf of the Auditor-General.

**Bankers:** ASB Bank.  
Bank of New Zealand.  
Kiwibank.  
TSB Bank.  
National Bank.

**Solicitors:** Atkins Holm Joseph Majurey, Auckland.  
Blank Sing & Associates, Auckland.  
Burnes Fraser, Auckland.  
Chapman Tripp, Whāngārei.  
John G Walton, North Shore.  
Karenza de Silva, North Shore.  
Laurene Holley, Auckland.  
Simpson Grierson, Wellington.  
Thomson Wilson, Whāngārei.  
Webb Ross, Whāngārei.

**The Chief Executive Officer** is responsible for setting the direction of the Council within the policy framework provided by Councillors. The Management Team is accountable to him and he is accountable to the Council.

# compliance statement

**Northland Regional Council**

## **Annual Report**

For the year ended 30 June 2010

### **Statement of Compliance**

1. The Council and its officers are responsible for preparing this report and financial statements, including the statement of service performance, and confirm that all statutory requirements of the Local Government Act 2002 have been complied with.
2. In our view, this Annual Report fairly reflects the financial position and operating results of the Council and its subsidiaries for the year ended 30 June 2010.



**Mark Farnsworth**  
Chairman



**Ken Paterson**  
Chief Executive Officer



**Lisa Aubrey**  
General Manager Finance & IT

29 September 2010

# audit report

**To the Readers of  
Northland Regional Council and Group's  
Financial Statements and Council Activities Information  
for the year ended 30 June 2010**

The Auditor General is the auditor of Northland Regional Council (the Regional Council) and Group. The Auditor General has appointed me, F Caetano, using the staff and resources of Audit New Zealand, to carry out the audit on her behalf. The audit covers the financial statements, the council activities information (that comprises the council activities and the contextual information about the Regional Council's contribution to community outcomes) and the Regional Council's compliance with the other requirements of Schedule 10 of the Local Government Act 2002 that are included in the annual report of the Regional Council and group for the year ended 30 June 2010.

**Unqualified opinion**

In our opinion:

- The financial statements of the Regional Council and Group on pages 135 to 192:
  - comply with generally accepted accounting practice in New Zealand; and
  - fairly reflect:
    - the Regional Council and Group's financial position as at 30 June 2010; and
    - the results of operations and cash flows for the year ended on that date.
- The council activities information of the Regional Council on pages 8 to 17 and 36 to 133:
  - complies with generally accepted accounting practice in New Zealand; and
  - fairly reflects the Regional Council's levels of service provision for the year ended 30 June 2010, including:
    - the levels of service provision as measured against the intended levels of service provision adopted in the long-term council community plan; and
    - the reasons for any significant variances between the actual service provision and the expected service provision.
- The Regional Council and Group have complied with the other requirements of Schedule 10 of the Local Government Act 2002 that are applicable to the annual report, and that are included in the Regional Council and Group's financial statements and council activities information.

The audit was completed on 29 September 2010, and is the date at which our opinion is expressed.

The basis of our opinion is explained below. In addition, we outline the responsibilities of the Council and the Auditor, and explain our independence.

**Basis of opinion**

We carried out the audit in accordance with the Auditor General's Auditing Standards, which incorporate the New Zealand Auditing Standards.

We planned and performed the audit to obtain all the information and explanations we considered necessary in order to obtain reasonable assurance that the financial statements, the council activities information and the other requirements did not have material misstatements, whether caused by fraud or error.

Material misstatements are differences or omissions of amounts and disclosures that would affect a reader's overall understanding of the financial statements, the council activities information and the other requirements. If we had found material misstatements that were not corrected, we would have referred to them in our opinion.

The audit involved performing procedures to test the information presented in the financial statements, the council activities information and the other requirements. We assessed the results of those procedures in forming our opinion.

Audit procedures generally include:

- determining whether the significant management and system controls are working and can be relied on to produce complete and accurate data;
- verifying samples of transactions and account balances;
- performing analyses to identify anomalies in the reported financial and service provision data;
- reviewing significant estimates and judgements made by the Council;
- confirming year-end balances;
- determining whether accounting policies are appropriate and consistently applied;
- determining the appropriateness of the reported council activities information within the Council's framework for reporting performance; and
- determining whether all required disclosures are adequate.

We did not examine every transaction, nor do we guarantee complete accuracy of the financial statements, the council activities information and the other requirements.

We evaluated the overall adequacy of the presentation of information in the financial statements, the council activities information and the other requirements. We obtained all the information and explanations we required to support our opinion above.

#### **Responsibilities of the Council and the Auditor**

The Council is responsible for preparing financial statements and council activities information in accordance with generally accepted accounting practice in New Zealand. The financial statements must fairly reflect the financial position of the Regional Council and Group as at 30 June 2010. They must also fairly reflect the results of operations and cash flows for the year ended on that date. The council activities information must fairly reflect the Regional Council's levels of service provision for the year ended 30 June 2010.

The Council is also responsible for meeting the other requirements of Schedule 10 of the Local Government Act 2002 and including that information in the annual report. The Council's responsibilities arise from section 98 and Schedule 10 of the Local Government Act 2002.

We are responsible for expressing an independent opinion on the financial statements, the council activities information and the other requirements and reporting that opinion to you. This responsibility arises from section 15 of the Public Audit Act 2001 and section 99 of the Local Government Act 2002.

#### **Independence**

When carrying out the audit we followed the independence requirements of the Auditor General, which incorporate the independence requirements of the New Zealand Institute of Chartered Accountants.

Other than the audit we have no relationship with or interests in the Regional Council or any of its subsidiaries.



F Caetano  
Audit New Zealand  
On behalf of the Auditor General  
Whāngārei, New Zealand

